## Adding Negation

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### 1 Nonrecursive Datalog with Negation

If L is an atom, then  $\neg L$  is a negative literal and L a positive literal. A nr-datalog rule is defined as a conjunctive rule where the rule body can contain both positive and negative literals. The rule is range restricted if each variable occurring in the rule occurs in a positive literal in the rule body. For example,

$$\mathsf{Answer1}(x) \leftarrow \mathsf{Emp}(x,y,z), \neg \mathsf{Loc}(z, \text{``Charleroi''})$$

The answer to a range-restricted nr-datalog rule  $q: H \leftarrow B$  on a database I, denoted q(I), is defined by:

$$q(I) = \{\theta(H) \mid \theta \text{ is a substitution such that } \left\{ \begin{array}{l} \text{for each } L \in B, \, \theta(L) \in I \\ \text{for each } \neg L \in B, \, \theta(L) \not \in I \end{array} \right\} \ .$$

A *nr-datalog* program is a sequence of nr-datalog rules:

$$H_1 \leftarrow B_1$$

$$H_2 \leftarrow B_2$$

$$\vdots$$

$$H_n \leftarrow B_n$$

such that the relation name that occurs in  $H_i$  does not occur in  $B_1, B_2, \ldots, B_i$ . The program is evaluated on input I by evaluating each rule in the given order and forming unions whenever two rules have the same relation name in their heads. For example,

$$\begin{array}{lcl} \mathsf{ChEmp}(x) & \leftarrow & \mathsf{Emp}(x,y,z), \mathsf{Loc}(z, \text{``Charleroi''}) \\ \mathsf{Answer1}(x) & \leftarrow & \mathsf{Emp}(x,y,z), \neg \mathsf{ChEmp}(x) \end{array}$$

What is the semantic difference between the two example queries?

# 2 Recursive Datalog with Negation

A datalog<sup>¬</sup> program is a datalog program where negative literals can appear in rule bodies. The immediate consequence operator  $T_P$  can be naturally extended to a datalog<sup>¬</sup> program P. However, the minimal fixpoints containing I may not be unique.

$$\begin{aligned} \mathsf{GreenPath}(x,y) &\leftarrow & \mathsf{Green}(x,y) \\ \mathsf{GreenPath}(x,y) &\leftarrow & \mathsf{GreenPath}(x,z), \mathsf{GreenPath}(z,y) \\ \mathsf{RedMonopoly}(x,y) &\leftarrow & \mathsf{Red}(x,y), \neg \mathsf{GreenPath}(x,y) \end{aligned}$$

Let

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\begin{array}{lcl} I &=& \{\mathsf{Green}(a,b),\mathsf{Red}(a,b),\mathsf{Red}(b,c)\} \\ J_1 &=& I \cup \{\mathsf{GreenPath}(a,b),\mathsf{RedMonopoly}(b,c)\} \\ J_2 &=& I \cup \{\mathsf{Green}(b,c),\mathsf{GreenPath}(a,b),\mathsf{GreenPath}(b,c),\mathsf{GreenPath}(a,c)\} \end{array}
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Both  $J_1$  and  $J_2$  are minimal fixpoints. Note incidentally that the following database  $J_3 \subsetneq J_2$  is not a fixpoint of  $T_P$ :

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\begin{array}{lcl} J_3 &=& I \cup \{\mathsf{GreenPath}(a,b), \mathsf{GreenPath}(b,c), \mathsf{GreenPath}(a,c)\} \\ T_P(J_3) &=& I \cup \{\mathsf{GreenPath}(a,b), \mathsf{GreenPath}(a,c)\} \\ T_P^2(J_3) &=& I \cup \{\mathsf{GreenPath}(a,b), \mathsf{RedMonopoly}(b,c)\} \\ T_P^3(J_3) &=& T_P^2(J_3) = J_1 \end{array}
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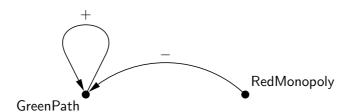
## 3 Stratified Semantics for Datalog

The dependency graph of a datalog program P is the labeled graph whose nodes are the relation names of idb(P). Its edges are the following:

- If  $R(\vec{x}) \leftarrow \dots S(\vec{y}) \dots$  is a rule of P with  $S \in idb(P)$ , then there is an edge with label + from R to S.
- If  $R(\vec{x}) \leftarrow \dots \neg S(\vec{y}) \dots$  is a rule of P with  $S \in idb(P)$ , then there is an edge with label from R to S.

A program is *stratified* if its dependency graph has no cycle containing a negative edge. Given a stratified program P, the *stratum* of an intensional relation name R is the largest number of negative edges in a path from R, in the dependency graph.

For example,



The stratum of GreenPath is 0; the stratum of RedMonopoly is 1.

Under the stratified semantics, the strata  $0, 1, \ldots$  are evaluated in order. Notice that for each rule

$$R(\vec{x}) \leftarrow \dots \neg S(\vec{y}) \dots$$

where  $R, S \in idb(P)$ , the stratum of R is greater than the stratum of S. When evaluating this rule, all rules for S have already been evaluated, so S can be treated as an extensional relation name.

It can be shown that, given database I and stratified program P, the stratified semantics gives us a minimal fixpoint of  $T_P$  containing I. Intuitively, the stratified semantics is a natural choice from among several possible fixpoints.

#### 4 A Note on Model-theoretic Semantics

We can associate with each nr-datalog program a first-order logic theory, for example:

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 \forall x \forall y (\mathsf{Green}(x,y) \Rightarrow \mathsf{GreenPath}(x,y)) \\ \forall x \forall y \forall z ((\mathsf{GreenPath}(x,z) \land \mathsf{GreenPath}(z,y)) \Rightarrow \mathsf{GreenPath}(x,y)) \\ \forall x \forall y ((\mathsf{Red}(x,y) \land \neg \mathsf{GreenPath}(x,y)) \Rightarrow \mathsf{RedMonopoly}(x,y))
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Given a database I, it seems natural to define the semantics of a program in terms of the minimal models of its first-order theory that contain I. (A model is any database that satisfies all the theory's sentences.) However, for programs with negation, there may be multiple minimal models containing a given database I, i.e. there may be no unique minimal model. For example,  $J_1$  and  $J_3$  are two minimal models containing {Green(a, b), Red(a, b), Red(b, c)}. Note also that  $J_2$  is a model, but not a minimal model (since  $J_3 \subseteq J_2$ ).

On the other hand, for programs without negation, the minimal model containing a given database is unique and coincides with the minimal fixpoint of  $T_P$ .

#### 5 Exercises

1. Consider the following datalog  $\neg$  program P:

$$\mathsf{Male}(x) \leftarrow \mathsf{Person}(x), \neg \mathsf{Female}(x)$$
 
$$\mathsf{Female}(x) \leftarrow \mathsf{Person}(x), \neg \mathsf{Male}(x)$$

Show that the immediate consequence operator  $T_P$  is not monotonic.

2. Write a stratified datalog program to answer the following query:

Find pairs of cities (a, b) such that b can be reached from a by some combination of red or green edges, but not by red or green edges alone.

3. From [1]. Consider the following stratified datalog program P:

$$P(x,y) \leftarrow Q(x,y), \neg R(x)$$

$$R(x) \leftarrow S(x,y), \neg T(y)$$

$$R(x) \leftarrow S(x,y), R(y)$$

Let 
$$I = \{S(a,b), S(b,c), S(c,a), T(a), T(b), T(c), Q(a,b), Q(b,c), Q(c,d), Q(d,e)\}.$$

- (a) Find the minimal fixpoint given by the stratified semantics.
- (b) Find another minimal fixpoint.

#### References

[1] J. D. Ullman. *Principles of Database and Knowledge-Base Systems – Volume II.* Computer Science Press, Rockville, MD, 1989.