## <span id="page-0-0"></span>Relational Algebra SPJRUD

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目

### Tabular Representation

The table



is shorthand for the following set of tuples:

$$
\left\{\n\begin{array}{c}\n\{A: 1, B: 3, C: 2\}, \\
\{A: 1, B: 4, C: 1\}, \\
\{A: 2, B: 4, C: 2\}, \\
\{A: 2, B: 3, C: 1\}\n\end{array}\n\right\}
$$

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### **Notation**

- Every tuple is a total function from a set of attributes to the set of constants.
- Therefore, if  $t = \{A : 1, B : 3, C : 2\}$ , then  $t(B) = 3$  and  $t[\{A, C\}] = \{A : 1, C : 2\}.$
- Note that  $t(B)$  is a constant, and  $t[\{A, C\}]$  a tuple.
- In database theory, we often omit curly brackets  $({})$  and union symbols  $(\cup)$  in the notation of sets. For example, if  $A, B, C, D$  are attributes and  $X = \{A, B\}$ , then XCD denotes the set  $\{A, B, C, D\}$ .

# Algebraic Operators

- Unary operators: Select, Project, Rename
- Binary operators: Join, Union, Difference
- Unary operators take in a single relation; binary operators take in two relations.
- Every operator returns a single relation.

**Select** 

$$
\begin{array}{c|cccc}\n & R & A & B & C \\
\hline\n1 & 3 & 2 & \\
1 & 4 & 1 & \\
2 & 4 & 2 & \\
2 & 3 & 1 & \\
\hline\n\sigma_{A=\text{``1''}}(R) & A & B & C \\
\hline\n1 & 3 & 2 & \\
1 & 4 & 1 & \\
\end{array}
$$

This is like SELECT \* FROM R WHERE A="1" in SQL.

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## Project

$$
R \begin{array}{c|cc}\n & A & B & C \\
\hline\n1 & 3 & 1 \\
1 & 3 & 2 \\
1 & 4 & 3 \\
1 & 4 & 4 \\
2 & 3 & 5\n\end{array}
$$
\n
$$
\pi_{\{A,B\}}(R) \begin{array}{c|cc}\n & A & B \\
\hline\n1 & 3 \\
1 & 4 \\
2 & 3\n\end{array}
$$

- Since relations are sets, duplicates are removed.
- Note that SELECT A, B FROM R in SQL does not remove duplicates.

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Join



- $R \bowtie S$  contains all tuples t such that t[ABC] is in R, and t[BCD] in S.
- This is different from the cross product SELECT \* FROM R, S in SQL.

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#### Rename

$$
R \begin{array}{c|cc}\n & A & B & C \\
\hline\n1 & 3 & 2 \\
1 & 4 & 1 \\
2 & 4 & 2 \\
2 & 3 & 1\n\end{array}
$$
\n
$$
\rho_{C \rightarrow D}(R) \begin{array}{c|cc}\nA & B & D \\
\hline\n1 & 3 & 2 \\
1 & 4 & 1 \\
2 & 4 & 2 \\
2 & 3 & 1\n\end{array}
$$

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## Union

$$
\begin{array}{c|cc}\nR & A & B & C \\
\hline\n1 & 3 & 5 \\
1 & 4 & 5\n\end{array}\n\qquad\n\begin{array}{c|cc}\nS & A & B & C \\
\hline\n1 & 4 & 5 \\
2 & 3 & 6\n\end{array}
$$
\n
$$
R \cup S & A & B & C \\
\hline\n1 & 3 & 5 \\
1 & 4 & 5 \\
2 & 3 & 6\n\end{array}
$$

- $R \cup S$  is only allowed if R and S have exactly the same attributes.
- In SQL, (SELECT \* FROM R) UNION (SELECT \* FROM S) only requires that  $R$  and  $S$  have the same number of attributes. The result takes the attributes of R.

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#### **Difference**

$$
\begin{array}{c|cc}\nR & A & B & C \\
\hline\n1 & 3 & 5 \\
1 & 4 & 5\n\end{array}\n\quad\n\begin{array}{c|cc}\nS & A & B & C \\
\hline\n1 & 4 & 5 \\
2 & 3 & 6\n\end{array}
$$
\n
$$
R-S & A & B & C \\
\hline\n1 & 3 & 5\n\end{array}
$$

•  $R - S$  is only allowed if R and S have exactly the same attributes.

• In SQL, (SELECT \* FROM R) MINUS (SELECT \* FROM S) only requires that  $R$  and  $S$  have the same number of attributes. The result takes the attributes of R.

## **Examples**



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## Qui n'a jamais bu un vin excellent ?

Soit

$$
E_1 = \rho_{Annee \mapsto Millesime}(ABUS)
$$
  
\n
$$
E_2 = \sigma_{Qualite = "excellent"}(VINS)
$$
  
\n
$$
E_3 = \pi_{\{Nom\}}(E_1 \bowtie E_2)
$$

 $E_3$  renvoie les personnes ayant déjà bu un vin excellent. La requête demandée est donc :

 $\pi_{\{Nom\}}(ABUS) - E_3$ 

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### Quels crus ont varié en qualité?

Soit

$$
E_1 = \pi_{\{Cru, Qualite\}}(VINS)
$$
  
\n
$$
E_2 = E_1 \bowtie \rho_{Qualite \rightarrow Valeur}(E_1)
$$

 $E_2$  renvoie  $\{Cru : c, Qualite : q, Valeur : v\}$  ssi c est un cru qui a été de qualité q et de qualité v (il est possible que  $q = v$ ). Soit

$$
E_3 = E_2 - \sigma_{Qualite = Value}(E_2)
$$

 $E_3$  renvoie {Cru : c, Qualite : q, Valeur : v} ssi c est un cru qui a été de qualité q et de qualité v avec  $q \neq v$ . La requête demandée est donc :

$$
\pi_{\{Cru\}}(E_3)
$$

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#### Qui a bu tous les crus?

#### Soit

$$
E_1 = \pi_{\{Nom\}}(ABUS) \bowtie \pi_{\{Cru\}}(VINS)
$$
  
\n
$$
E_2 = \pi_{\{Nom, Cru\}}(ABUS)
$$
  
\n
$$
E_3 = E_1 - E_2
$$

 $E_3$  renvoie {Nom : n, Cru : c} ssi c est un cru qui n'a pas été bu par la personne n. La requête demandée est donc :

$$
\pi_{\{Nom\}}(ABUS) - \pi_{\{Nom\}}(E_3)
$$

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### Alphabet

- $\bullet$  Relation names. Each relation name R is associated with a fixed set of attributes, denoted  $sort(R)$ .
- **o** Constants
- $\bullet$  Typically, R, S, T are relation names; A, B, C are attributes; a, b, c are constants.

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# Syntax I

Relation names Every relation name is an algebra expression.

Selection If E is an algebra expression,  $A \in sort(E)$ , and c is a constant, then  $\sigma_{A=c}(E)$  is an algebra expression with  $sort(\sigma_{A=c}(E)) = sort(E).$ 

Selection If E is an algebra expression and  $A, B \in sort(E)$ , then  $\sigma_{A=B}(E)$  is an algebra expression with  $sort(\sigma_{A=B}(E)) = sort(E).$ 

Projection If E is an algebra expression and  $X \subseteq sort(E)$ , then  $\pi_X(E)$  is an algebra expression with  $sort(\pi_X(E)) = X$ .

Join If  $E_1$  and  $E_2$  are algebra expressions, then  $E_1 \bowtie E_2$  is an algebra expression with sort( $E_1 \bowtie E_2$ ) = sort( $E_1 \cup$  sort( $E_2$ ).

Rename If E is an algebra expression,  $A \in sort(E)$ , and B is an attribute not in sort(E), then  $\rho_{A\mapsto B}(E)$  is an algebra expression with sort( $\rho_{A\mapsto B}(E)$ ) = (sort(E) \{A}) ∪ {B}.

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# Syntax II

Union If  $E_1$  and  $E_2$  are algebra expressions with  $sort(E_1) = sort(E_2)$ , then  $E_1 \cup E_2$  is an algebra expression with sort( $E_1 \cup E_2$ ) = sort( $E_1$ ).

#### Difference If  $E_1$  and  $E_2$  are algebra expressions with sort( $E_1$ ) = sort( $E_2$ ), then  $E_1 - E_2$  is an algebra expression with sort $(E_1 - E_2) = \text{sort}(E_1)$ .

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# Semantics I

A database [instance]  $\cal I$  is a total function that maps every relation name  $R$  to a relation over  $sort(R)$ . We denote by  $R^\mathcal{I}$  the relation to which  $R$  is mapped by  $\mathcal{I}$ .

- For every *relation name R*,  $\llbracket R \rrbracket^\mathcal{I} = R^\mathcal{I}.$
- $[\![\sigma_{A=c}(E)]\!]^{\mathcal{I}} = \{t \in [\![E]\!]^{\mathcal{I}} \mid t(A) = c\}.$
- $[\![\sigma_{A=B}(E)]\!]^{\mathcal{I}} = \{t \in [\![E]\!]^{\mathcal{I}} \mid t(A) = t(B)\}.$

 $[\![\pi_X(E)]\!]^{\mathcal{I}} = \{t[X] \mid t \in [\![E]\!]^{\mathcal{I}}\}.$ 

- Assume sort( $E_1$ ) = X and sort( $E_2$ ) = Y. Then,  $\llbracket E_1 \Join E_2 \rrbracket^{\mathcal{I}} = \{t \mid t[X] \in \llbracket E_1 \rrbracket^{\mathcal{I}} \text{ and } t[Y] \in \llbracket E_2 \rrbracket^{\mathcal{I}} \}.$
- $[\![\rho_{A\mapsto B}(E)]\!]^{\mathcal{I}}$  contains every tuple that can be obtained by replacing  $A$ with *B* in some tuple of  $\llbracket E \rrbracket^{\mathcal{I}}$ .
- $\llbracket E_1 \cup E_2 \rrbracket^{\mathcal{I}} = \llbracket E_1 \rrbracket^{\mathcal{I}} \cup \llbracket E_2 \rrbracket^{\mathcal{I}},$  where  $\cup$  is the standard union of sets.  $[[E_1 - E_2]]^{\mathcal{I}} = [[E_1]]^{\mathcal{I}} \setminus [[E_2]]^{\mathcal{I}}.$

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Syntax Tree for "Qui a bu tous les crus?"



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## Graphical Interpretation

- $\bullet$  The syntax defines which trees are correct.
- The leaf nodes of the tree are always labeled with relation names.
- $\bullet$  The semantics essentially tells us that a tree can be evaluated bottom-up once relations (i.e., finite sets of tuples) have been associated with the leaf nodes.

# **Equivalence**

Two algebra expressions  $E_1$  and  $E_2$  are said to be equivalent, denoted  $E_1 \equiv E_2$ , if for every database  $\mathcal{I}$ , we have  $[\![E_1]\!]^{\mathcal{I}} = [\![E_2]\!]^{\mathcal{I}}$ .

Example 1

$$
\pi_{\{Cru\}}(\sigma_{Qualite} = "excellent" (VINS))
$$
  

$$
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$$
  

$$
\pi_{\{Cru\}}(\sigma_{Qualite} = "excellent" (\pi_{\{Cru, Qualite\}}(VINS)))
$$

#### Example 2

Let R and S be two relation names such that  $A \in sort(R) = sort(S)$ .  $\pi_{\{A\}}(\sigma_{\mathcal{A} = \text{``}7\text{''}}(R \cup \mathcal{S})) \equiv (\sigma_{\mathcal{A} = \text{``}7\text{''}}(\pi_{\{A\}}(R))) \cup (\sigma_{\mathcal{A} = \text{``}7\text{''}}(\pi_{\{A\}}(\mathcal{S})))$ 

#### Theorem (Trakhtenbrot 1950)

There exists no algorithm for the following problem:

 $INPUT: Two expressions E<sub>1</sub>, E<sub>2</sub> in SPJRUD.$ 

QUESTION: Are  $E_1$  and  $E_2$  equivalent?

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# Boris Trakhtenbrot (1921–2016)



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# An Algorithm for Testing  $E_1 \equiv E_2$ ?

Assume that  $C = \{c_1, \ldots, c_n\}$  are all constants occurring in  $E_1$  or  $E_2$ , in selections of the form  $\sigma_{A=\text{``}c_{i}\text{''}}(\cdot)$ . Assume w.l.o.g. that no  $c_i$  is a natural number.

for  $j = 0, 1, 2, 3, \ldots$  do

for each database  $\mathcal I$  that uses only constants in  $\{1, 2, \ldots, j\} \cup \mathcal C$ (and uses only relation names occurring in  $E_1$  or  $E_2$ ) do if  $\llbracket E_1 \rrbracket^{\mathcal{I}} \neq \llbracket E_2 \rrbracket^{\mathcal{I}},$ <br>then return  $\mathcal{I}$  and then return  $I$  and halt

Claim:

- If  $E_1 \not\equiv E_2$ , then this piece of code will halt in finitely many steps and return a database on which  $E_1$  and  $E_2$  disagree.
- If  $E_1 \equiv E_2$ , then the code will run forever without halting.

It is crucial here that, by definition, databases are finite.

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Two Important Sub-languages of SPJRUD

SPJR Conjunctive queries SPJRU Unions of conjunctive queries

Theorem There exists an algorithm for the following problem:  $INPUT: Two expressions E<sub>1</sub>, E<sub>2</sub> in SPJRU.$ QUESTION: Are  $E_1$  and  $E_2$  equivalent?

Take-home message: "Less can be more beautiful."

#### <span id="page-24-0"></span>**Discussion**

- Can we express all "interesting" queries in SPJRUD, or should we add more operators?
- Why don't we have intersection? Why don't we have  $\sigma_{A\neq B}R$ ?
- Show the following: if we leave out one of the 6 operators, then we can express strictly less queries.

*Hint:* For union, consider two relations  $\begin{array}{c|c} R & A & S & A \ \hline 1 & \end{array}$  and  $\begin{array}{c|c} S & A \ \hline 0 \end{array}$ . Show that if  $E$  is an A

algebraic expression that does not contain ∪, then  $E$  will not return

input R and S (and therefore, E does not express  $R \cup S$ ).

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